Soca v.1 add-on – Adding social impact information to ecoinvent

Description of methodology to map social impact information from PSILCA v.1 to ecoinvent v. 3.3

January 2017

Franziska Eisfeldt, eisfeldt@greendelta.com



Content

1	Background and motivation			
2	Methodology	5		
2.1	Data mapping	5		
2.2	Activity variable	7		
2.3	Data quality			
2.4	Impact Assessment	10		
3	Results			
4	Discussion	15		
5	References	17		

List of figures

Figure 1: Selected outputs of a sample process with working hours	7
Figure 2: Outputs of a sample process with working time defined by a parameter	8
Figure 3: Global parameter for the working time related kg	8
Figure 4: Pedigree matrix for data quality assessment	9
Figure 5: Social aspects of a selected process	10
Figure 6: Selected impact categories of the "Social Impacts Weighting Method"	11
Figure 7: Flows and impact factors belonging to impact category "Fatal accidents"	11
Figure 8: Flows and impact factors belonging to impact category "Fair Salary"	12
Figure 9: Pie chart of direct process contributions to impact category results (for Fatal accidents)).13
Figure 10: Contribution tree (for Child Labour, total)	13
Figure 11: Impact analysis (for Fair Salary)	14
Figure 12: Heat map showing social hotspots (for Child Labour, total)	15

List of tables

TC 11	1	т		C	. ,		10	$\overline{}$
Table	١.	Imnac	t tactors	tor most	1mnact	categories		,
Table	т.	mpac	t lactors	101 IIIOSt	mpact	categories	1 <i>d</i>	_

1 Background and motivation

Ecoinvent is the most famous LCA database worldwide developed by the ecoinvent centre in Zurich, Switzerland. Traditionally, it provides international industrial life cycle inventory data on energy supply, resource extraction, material supply, chemicals, metals, agriculture, waste management services, and transport services. Each data set is provided as unit process and aggregated system process.

In 2016, the ecoinvent centre launched ecoinvent v.3.3 containing important updates and additions, among others prices for all products (except for waste materials and their disposal). So, in order to carry out an entire sustainability assessment including environmental, economic and social impacts, only the last part is missing. Therefore, it is the aim of this update to add social information to ecoinvent v.3.3 to combine Social and Environmental Life Cycle Assessment (S-LCA, E-LCA) and Life Cycle Costing (LCC) in one single database.

2 Methodology

To add social aspects to ecoinvent the PSILCA database is taken as basis. PSILCA is a transparent database for Social LCA which provides comprehensive generic inventory information to calculate and assess social impacts of products over their life cycles. The current, first version of PSILCA covers 53 indicators for almost 15,000 industry sectors and commodities in 189 countries. More than 30 additional indicators will be added in the next version¹.

These social aspects and effects are basically assigned to every activity/ process in ecoinvent v.3.3 of all system models (APOS, cut-off, consequential). An exception are market processes and activities used for database administration and modelling. For these processes, no social risks were assumed because, in practice, they are not connected to any real working time and, hence, do not contribute effectively to a product life cycle. So, double counting of social impacts – that actually occur in related production and transport activities – is avoided.

The exact procedure and methodology is explained in the following. At the moment, soca is available for openLCA.

2.1 Data mapping

In order to assign social data from PSILCA to ecoinvent, the *categories* of ecoinvent processes (for specific countries and regions) were mapped with the country-specific sectors² (CSS) of PSILCA. As a result, all processes and products within a country or region belonging to the same category receive the same social information.

Since the regional structure in PSILCA differs from the one in ecoinvent, different mapping cases arise. The following steps and rules were applied to map the data:

a) Locations of ecoinvent process and PSILCA sector are identical

1. If a process category for a specific country in ecoinvent corresponds to a CSS in PSILCA, social information is mapped directly, without any modification.

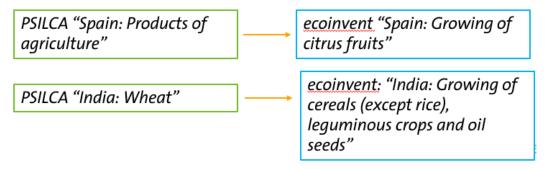
GreenDelta 5

¹ For further information about PSILCA, its structure and methodology please visit <u>www.psilca.net</u>.

² A country-specific sector is the unique combination of a country and an industry or commodity.



2. If there is no corresponding CSS in PSILCA for a process' category-country-combination in ecoinvent, social information is interpolated or extrapolated from a more general or detailed sector, without additional modification.



b) Location of ecoinvent process is a sub-region:

3. If the location of an ecoinvent process is a sub-region (such as *China, Shandong (山东*), or *Canada, Ontario*), it is mapped to matching sectors of the respective country in PSILCA (i.e. China, Canada). The sector mapping is identical to the approach described in a).



c) Location of process is a trans-national region

- 4. In case the location of a process is a macro- or trans-national region (e.g. *Al producing Area; Asia, without China and GCC*; or *Europe*), in a first step, corresponding countries of PSILCA are assigned to these regions. Then, all the mapped countries are combined with the respective category of the region and these combinations are mapped with the best matching PSILCA CSS. Finally, a non-weighted average is calculated across all the mapped CSS from PSILCA and assigned to the ecoinvent category.
- 5. For activities with *global* locations, all PSILCA countries are combined with the belonging categories and mapped to the PSILCA CSS. After that, a non-weighted average is calculated across all the mapped CSS from PSILCA and applied to the ecoinvent processes. This approach implicates that the social information for *global* processes can encompass a broader range of country data than the industrial information in ecoinvent because PSILCA includes more countries. However, this method was selected to stick to the overall PSILCA approach.
- 6. Rest-of-World (ROW) is understood as in ecoinvent as Global except the locations that already have individual values for the same product or category. Hence, categories with the location ROW are assigned to all PSILCA countries except those that already exist as individual country-category-combinations. The remaining combinations are then mapped with the best matching CSS of PSILCA and the average is calculated.
 - This approach differs a bit from the ecoinvent approach as well, but it was selected to ensure consistency among the social information.

After country and sector mapping, all indicator values are risk-assessed. The **risk evaluation** of the raw indicator values (including averages) is based on the same schemes and ranges as used in PSILCA.

2.2 Activity variable

In order to describe the relevance of the social impacts caused by a process in a life cycle, so-called activity variables are necessary (Norris 2006). The activity variable is the measure of a process activity which can be related to process output (see UNEP/SETAC 2009, p. 98). As in PSILCA, worker hours are selected for all indicators. This variable determines the working time (in manhours) required to produce the reference product.

To calculate the working time for each process in ecoinvent, first of all, the values for working hours per USD output from PSILCA are mapped to the corresponding ecoinvent processes. Mapping procedure is equivalent to the method described in chapter 2.1. Processes with transnational or global locations receive an average over all working times of the mapped CSS.

In a second step, these assigned values are multiplied with the cost for the reference product provided in ecoinvent. It has to be taken into account that these costs are independent of the country and of the specific technology, i.e. global average values were taken. This, of course, distorts the values of working time.

For some ecoinvent processes – mainly waste material and their disposal – no costs are specified. For these processes parameters for working hours were defined which can be changed individually by the user. These parameters are determined per unit of the processes because it is assumed that a broadly similar working time is required to produce the same amount (e.g. 1 kg or 1 km) of different products. Since waste material and disposals (for which the parameters are relevant) should not require long working hours in general, the parameters are calculated as the quintiles (=quantile $Q_{0,2}$) of the set of worker hour values of all products with the same unit.

Figure 1 shows a process with some social effects measured by its specific working time.

Flow	Category	Amount	Unit	Costs/Revenue
F _e barley grain, feed, organic	011:Growing of non-perennial crops/0111:Growing of cereals (ex	1.00000	□□ kg	0.15900 EUR
Fø Water	Emission to air/unspecified	0.05040	™ kg	
Rate of fatal accidents at workplace; low risk	Workers/Health and Safety (Workers)	0.00185	□□□ h	
Presence of indigenous population; no risk	Local Community/Respect of indigenous rights	0.00185	<u>ш</u> h	
Human rights issues faced by indigenous people; not applicable	Local Community/Respect of indigenous rights	0.00185	шш h	
Minimum wage, per month; very high risk	Workers/Fair Salary	0.00185	□□□ h	
Living wage, per month; high risk	Workers/Fair Salary	0.00185	<u>ш</u> h	
F _o Sector average wage, per month; very low risk	Workers/Fair Salary	0.00185	<u>™</u> h	
Children in employment, total; no risk	Workers/Child labour	0.00185	<u>™</u> h	
Children in employment, male; no risk	Workers/Child labour	0.00185	<u>™</u> h	
Children in employment, female; no risk	Workers/Child labour	0.00185	<u>™</u> h	
Goods produced by forced labour; no data	Workers/Forced Labour	0.00185	<u>ш</u> h	
Frequency of forced labour; very low risk	Workers/Forced Labour	0.00185	<u>™</u> h	
Weekly hours of work per employee; medium risk	Workers/Working time	0.00185	<u>™</u> h	
Gender wage gap; high risk	Workers/Discrimination	0.00185	шш h	
Rate of non-fatal accidents at workplace; very high risk	Workers/Health and Safety (Workers)	0.00185	<u>™</u> h	
DALYs due to indoor and outdoor air and water pollution; very low risk	Workers/Health and Safety (Workers)	0.00185	<u>ш</u> h	
Presence of sufficient safety measures; very low risk	Workers/Health and Safety (Workers)	0.00185	<u>™</u> h	
Workers affected by natural disasters; very low risk	Workers/Health and Safety (Workers)	0.00185	<u>ш</u> h	
Social security expenditures; medium risk	Workers/Social benefits, legal issues	0.00185	<u>™</u> h	
Evidence of violations of laws and employment regulations; high risk	Workers/Social benefits, legal issues	0.00185	<u>™</u> h	
Certified environmental management systems; medium risk	Local Community/Access to material resources	0.00185	шш h	
Pollution level of the country; low risk	Local Community/Safe and healthy living conditions	0.00185	□□ h	

Figure 1: Selected outputs of a sample process with working hours

Figure 2 shows a process with the working time determined by a parameter which in turn is defined as shown in Figure 3

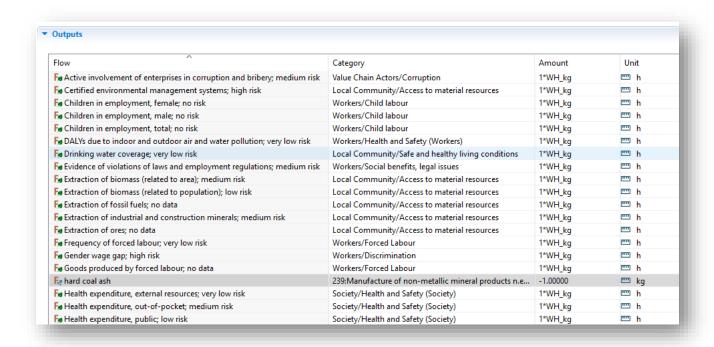


Figure 2: Outputs of a sample process with working time defined by a parameter

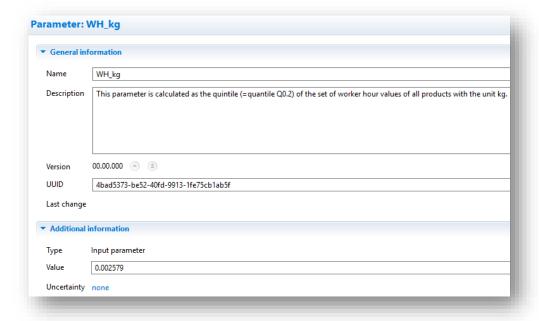


Figure 3: Global parameter for the working time related kg

2.3 Data quality

The social aspects in soca also include the evaluation of data quality. Again, the same approach as used in PSILCA is followed where data quality is assessed by a pedigree matrix consisting of five quality indicators measured by 5 scores (see Figure 4).

Score	1	2	3	4	5	
Indicator				7		
Reliability of the source(s)	Statistical study, or verified data from primary data collection from several sources	Verified data from primary data collection from one single source or non-verified data from primary sources, or data from recognized secondary sources	Non-verified data partly based on assumptions or data from non-recognized sources	Qualified estimate (e.g. by expert)	Non-qualified estimate or unknown origin	
Completeness conformance	Complete data for country-specific sector/ country	Representative selection of country- specific sector / country	Non-representative selection, low bias	Non-representative selection, unknown bias	Single data point / completeness unknown	
Temporal conformance	Less than 1 year of difference to the time period of the dataset	Less than 2 years of difference to the time period of the dataset	Less than 3 years of difference to the time period of the dataset	Less than 5 years of difference to the time period of the dataset	Age of data unknown or data with more than 5 years of difference to the time period of the dataset	
Geographical Data from same conformance geography (country)		Country with similar conditions or average of countries with slightly different conditions	Average of countries with different conditions, geography under study included, with large share, or country with slightly different conditions	Average of countries with different conditions, geography under study included, with small share, or not included	Data from unknown or distinctly different regions	
Further technical conformance	Data from same technology (sector)	Data from similar sector, e.g. within the same sector hierarchy, or average of sectors with similar technology	Data from slightly different sector, or average of different sectors, sector under study included, with large share	Average of different sectors, sector under study included, with small share, or not included	Data with unknown technology / sector or from distinctly different sector	

Figure 4: Pedigree matrix for data quality assessment

The values for *Reliability of sources, Temporal* and *Completeness conformance* were simply taken over from the original data in PSILCA. Regarding the assessment of *Geographical* and *Technical conformance* of the datasets, the specific mapping and attribution procedures between PSILCA and ecoinvent were taken into consideration. These were compared to the original values of data quality, and the worse score respectively was selected. For example, if geographical conformance for a data point in PSILCA is 1, but an average value over countries is used for the ecoinvent process (hence data quality assessment of 3) then the worse score 3 is selected for geographical conformance of that dataset.

Data quality assessment, indicator raw values, risk levels, comments and the source are documented in the social aspects tab of every process (see Figure 5).

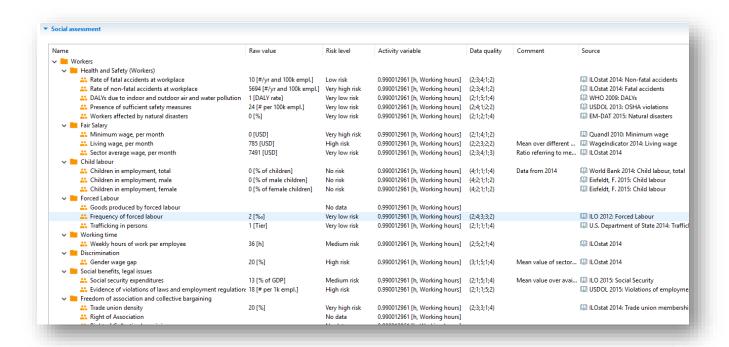


Figure 5: Social aspects of a selected process

2.4 Impact Assessment

For calculating social impacts for a specific product system, the same impact assessment method as in PSILCA is provided. Since social impact assessment is still investigated, and no generally accepted method has been developed so far, the so-called "Social Impacts Weighting Method" is rather rudimentary with typically impact categories corresponding to one indicator. Only proxy indicators, e.g. "Living wage, per month", are combined with other indicators into one impact category (see Figure 6 and Figure 7).

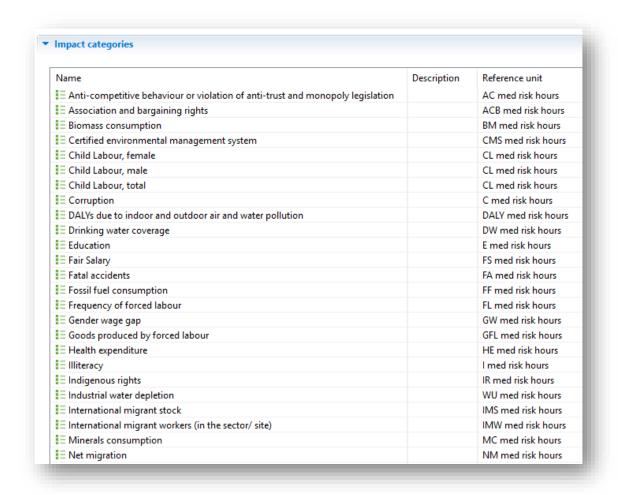


Figure 6: Selected impact categories of the "Social Impacts Weighting Method"

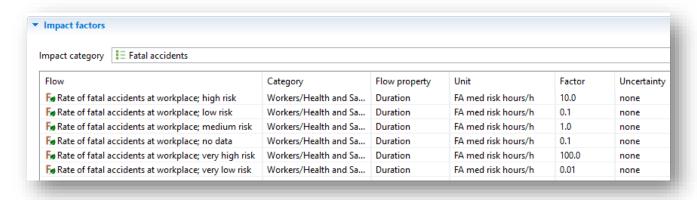


Figure 7: Flows and impact factors belonging to impact category "Fatal accidents"

The reference unit of each impact category is medium risk hours. So, impact factors are scaled to medium risk of an indicator. Typically, the impact factors listed in Table 1 are applied.

Greendelta 11

Table 1: Impact factors for most impact categories

Risk level	Factor
Very low risk	0.01
Low risk	0.1
Medium risk	1
High risk	10
Very high risk	100
No risk	0
No data	0.1

Only for a small number of indicators impact factors differ from this scheme in order to weight them in comparison to other indicators within the same category (see Figure 8)Figure 7: Flows and impact factors belonging to impact category "Fatal accidents".

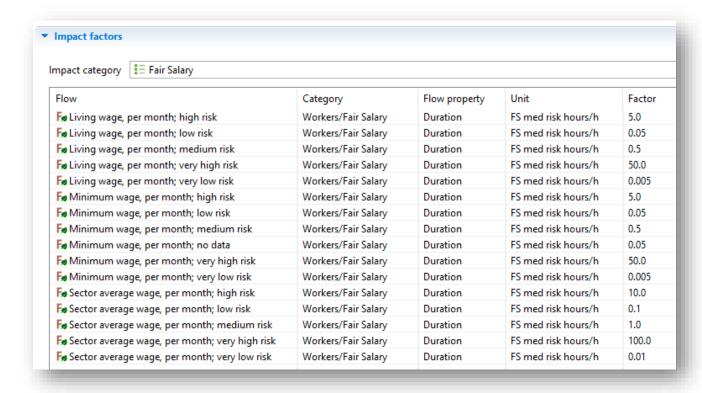


Figure 8: Flows and impact factors belonging to impact category "Fair Salary"

3 Results

With the current version of openLCA (1.5), results can still be provided only separately for social

impacts (not in combination with environmental impacts). They are displayed and visualized in form of charts and tables. The following figures show a selection of different forms of result presentation.

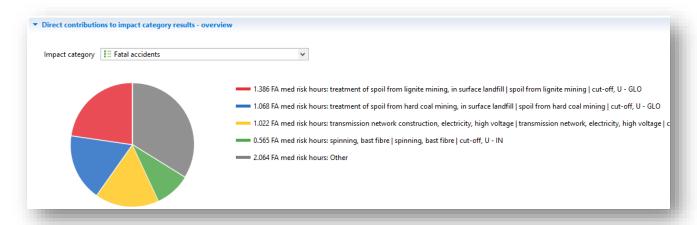


Figure 9: Pie chart of direct process contributions to impact category results (for Fatal accidents)

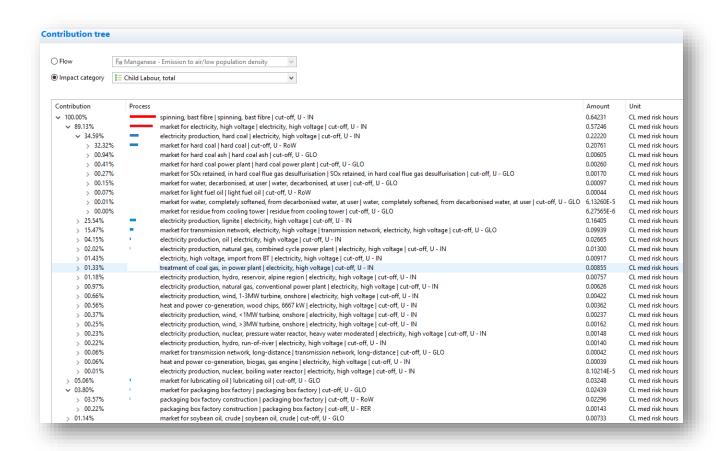


Figure 10: Contribution tree (for Child Labour, total)

Greendelta 13

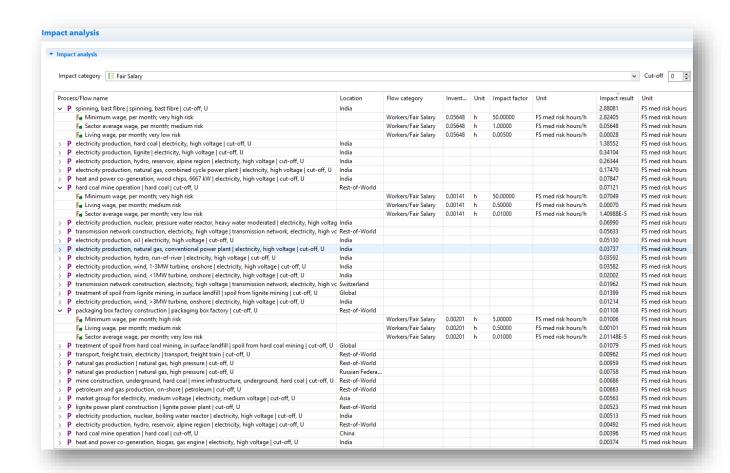


Figure 11: Impact analysis (for Fair Salary)

Greendelta 14

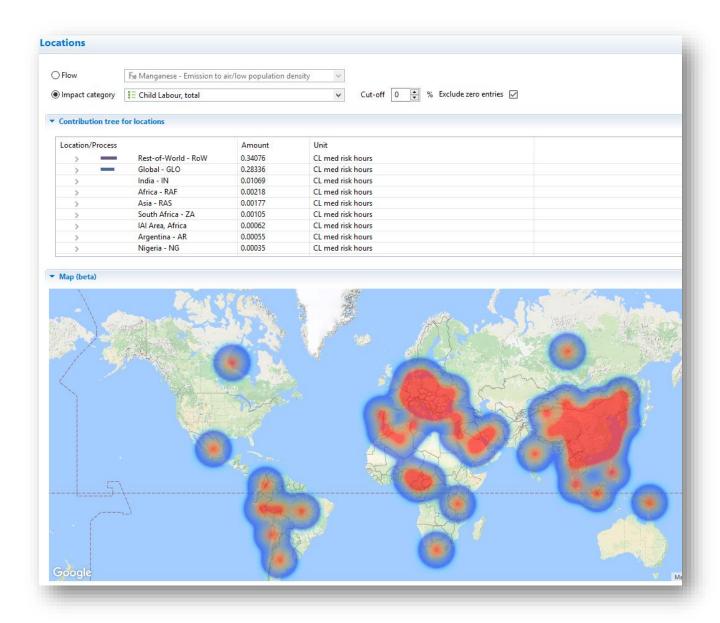


Figure 12: Heat map showing social hotspots (for Child Labour, total)

4 Discussion

Complemented by the inventory data on environmental impacts and the prices for all products, ecoinvent – soca is the first database that combines E-LCA, S-LCA and LCC. Therefore, it is possible to carry out full Life Cycle Sustainability Assessments for a product system using only one database.

However, the applied method still holds inaccuracies and possible result distortions. For example, due to the mapping procedure described in chapter 2.1 all activities and products within a country or region belonging to the same category receive the same social information. This should be refined in future versions. Further, the fact that the costs in ecoinvent are global averages, i.e. independent of the country and of the specific technology, can highly affect the working hours calculated for an activity on their basis. It should be checked to what extend this influences final results. Additionally, besides the rather technical processes, there might be other ones that should not have social impacts at all (e.g. "operation, computer, desktop, with liquid crystal display, off mode | operation, computer, desktop, with liquid crystal display, off mode | APOS, U") or at least, some indicators are

not convenient (e.g. "Human rights issues faced by indigenous peoples").

Furthermore, social results of soca should be compared with other S-LCA databases (PSILCA and Social Hotspots Database (SHDB)). This is especially interesting because location structures and process connections differ between the databases. For example, while PSILCA and SHDB use Input/Output databases as their bases with monetary connections between the processes, flow properties in ecoinvent are technical. To do a comparison, specific criteria have to be defined in order to evaluate reliability and validity of results, but also comparability. Such a comparison of results is currently being carried out and will be provided soon.

Despite these weaknesses, soca is the first attempt to combine environmental, social and economic aspects in one single database. It is therefore a foundation for Life Cycle Sustainability Assessments.

5 References

Ciroth, Andreas; Eisfeldt, Franziska (2016): PSILCA – A Product Social Impact Life Cycle Assessment database, Database version 1.0, Documentation, Version 1.1, online available at http://openlca.org/wp-content/uploads/2016/08/PSILCA_documentation_v1.1.pdf (last access: 16.11.2016).

Norris, G.A. (2006): Social impacts in product life cycles – Towards life cycle attribute assessment. International Journal of Life Cycle Assessment 11 (1): 97-104

UNEP/SETAC Life Cycle Initiative (2009): Guidelines for social life cycle assessment of products, Authors: Andrews, E. S.; Barthel, L.-P.; Beck, T.; Benoit, C.; Ciroth, A.; Cucuzella, C.; Gensch, C.-O.; Hérbert, J.; Lesage, P.; Manhart, A.; Mazeau, P.; Mazijn, B.; Methot, A.-L.; Moberg, A.; Norris, G.; Parent, J.; Prakash, S.; Reveret, J.-P.; Spillemaeckers, S.; Ugaya, C. M. L.; Valdivia, S.; Weidema, B., online available at www.unep.fr/scp/publications/details.asp?id=DTI/1164/PA (last access: 27.01.2017)